Updates on Ibrutinib

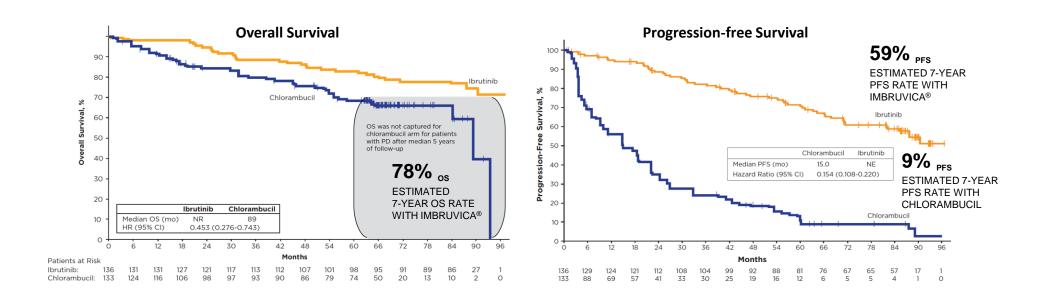
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I have the following financial relationships to disclose:

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Updated August 2021

Up to 8 Years of Follow-up in RESONATE-2: OS and PFS



- 78% taking Ibrutinib were estimated to be alive at 7 years
- 59% taking Ibrutinib were estimated to be progression-free and alive at 7 years vs 9% of patients taking chlorambucil

1. Barr PM, Owen C, Robak T, et al. Up to 8 years follow-up from RESONATE-2: first-line ibrutinib treatment for patients with chronic lymphocytic leukemia. *Blood Adv*. 2022 Apr 4:bloodadvances.2021006434.doi:10.1182/bloodadvances.2021006434



E1912 Randomization Eligibility: -Previously untreated CLL -Requires treatment (IWCLL 2008) -Age < 70 -ECOG 0-2 -CrCL>40 -Able to tolerate FCR -No deletion 17p by FISH Planned Accrual: 519 Stratification: Age Stage Performance status

Arm A – Ibrutinib + Rituximab

Cycles 1:

Ibrutinib 420 mg PO daily, days 1-28

Cycle 2:

Ibrutinib 420 mg PO daily, days 1-28 Rituximab 50 mg/m² IV, day 1 Rituximab 325 mg/m² IV, day 2

Cycles 3-7:

Ibrutinib 420 mg PO daily, days 1-28 Rituximab 500 mg/m² IV, day 1

Arm B - FCR

Cycles 1-6:

Fludarabine 25 mg/m² IV, days 1-3 Cyclophosphamide 250 mg/m² IV, days 1-3

Cycle 1:

Rituximab 50 mg/m² IV, day 1, cycle 1 Rituximab 325 mg/m² IV, day 2, cycle 1

Cycle 2-6:

Rituximab 500 mg/m² IV, day 1, cycles 2-6

Cycle 8 until progression:
Ibrutinib 420 mg
PO daily, days 1-28



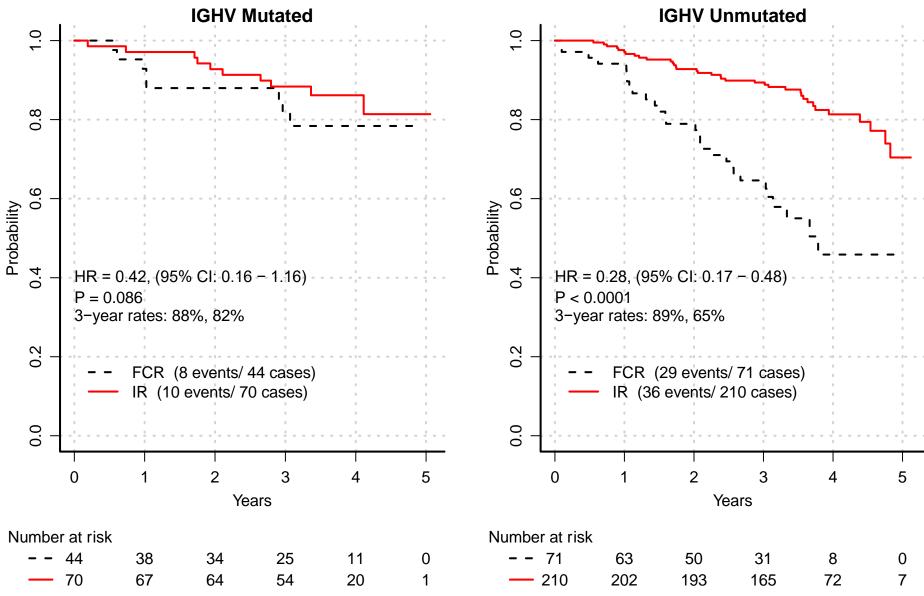
Disease Progression

Patient Characteristics Were Well Balanced

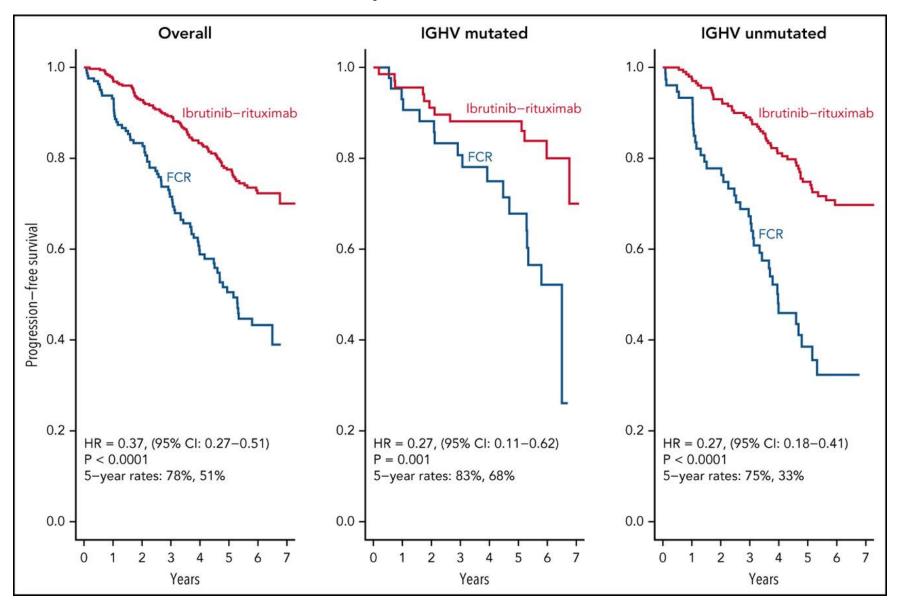
| Baseline characteris | stics | IR n=354 | FCR n=175 | Total |
|----------------------|--------------|-------------|--------------|-------|
| Median age (y) | | 58 | 57 | 58 |
| Age <u>></u> 60 | | 41.0% | 40.0% | 40.6% |
| Female | | 33.3% | 31.4% | 32.7% |
| ECOG = 0 | | 63.8% | 62.3% | 63.3% |
| Rai stage 0 | | 3.1% | 5.1% | 3.8% |
| Rai stage I-II | | 52.8% | 53.7% | 53.1% |
| Rai stage III-IV | | 44.1% | 41.1% | 43.1% |
| FISH | 11q deletion | 22.0% | 22.3% | 22.2% |
| | Trisomy 12 | 19.8% | 15.4% | 18.3% |
| | 13q deletion | 34.2% | 33.1% | 33.8 |
| B2M >3.5 mg/L | | 51.9% | 48.0% | 50.6% |
| IGHV Unmutated* | | 75.0% | 61.7% | 71.1% |

^{*} Tested in 437 (82%) patients

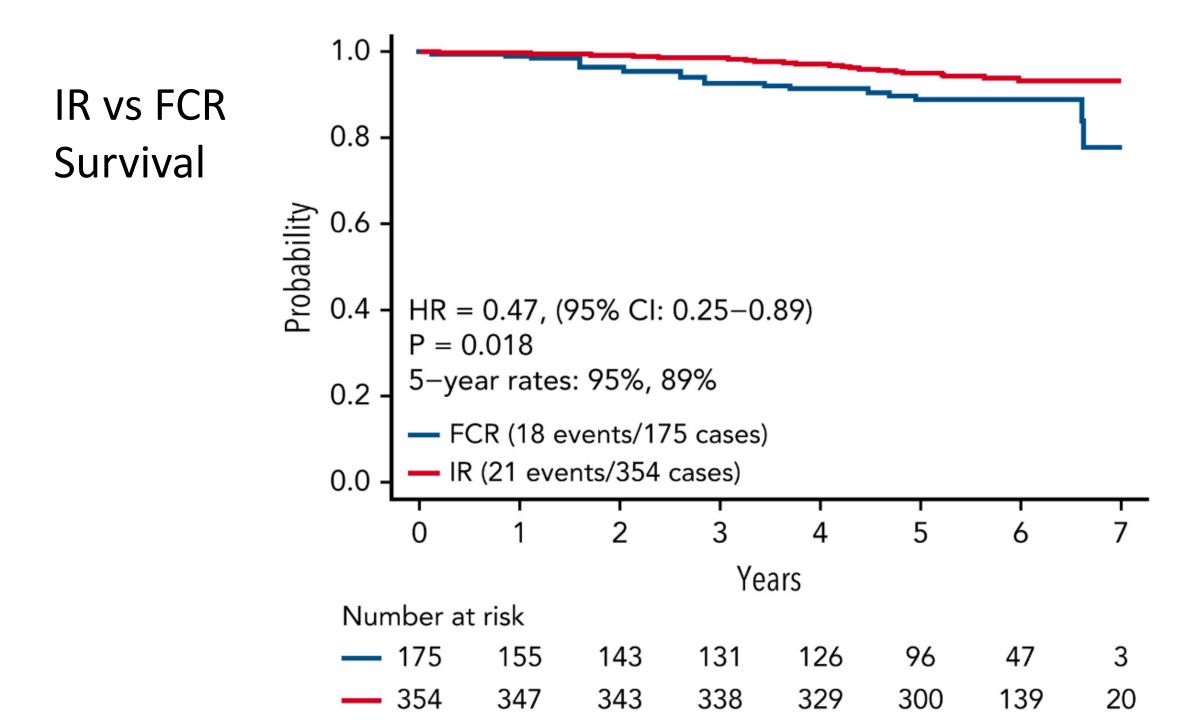
Progression Free Survival: IGHV Status



Overall PFS and by IGHV Mutation Status

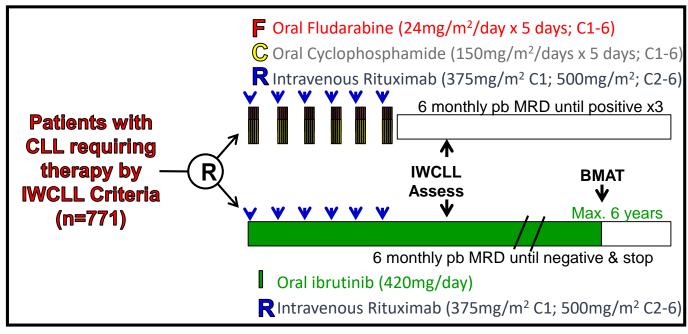


Shanafelt et al Blood July 2022



Front-line trial for patients fit for FCR: NCRI Flair Trial

Front Line therapy in CLL: Assessment of Ibrutinib plus Rituximab



Primary end-point:

To assess whether IR is superior to FCR in terms of PFS

Key secondary endpoints:

Overall survival
Response including MRD
Safety and toxicity

Key Inclusion Criteria:

- Previously untreated CLL requiring therapy by IWCLL criteria
- Considered fit for FCR
- ≤75 years old

Key Exclusion Criteria:

Prior therapy for CLL; History of Richter's transformation; >20% TP53 deletion by FISH; Concomitant warfarin (or equivalent)

Symptomatic cardiac failure or angina

Baseline Characteristics



| Characteristic | | FCR (n=385) | Ibrutinib+ rituximab (n=386) | Total (n=771) |
|--|-------------|----------------|------------------------------------|------------------|
| Age | Median (mo) | 62 | 63 | 62 |
| | >65 years | 127 (33.0%) | 132 (34.2%) | 259 (33.6%) |
| Gender | Male | 282 (73.2%) | 283 (73.3%) | 565 (73.3%) |
| Binet stage | Prog A or B | 215 (55.8%) | 208 (53.9%) | 423 (54.9%) |
| | С | 170 (44.2%) | 178 (46.1%) | 348 (45.1%) |
| Duration of CLL prior to randomization | Median (mo) | 24.7 | 23.7 | 24.1 |
| B symptoms | Yes | 188 (48.8%) | 173 (44.8%) | 361 (46.8%) |
| B2-microglobulin | ≥4 mg/l | 194 (50.4%) | 193 (50%) | 387 (50.2%) |







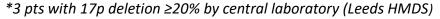
Baseline prognostic markers



| Characteristic | | FCR (n=385) | Ibrutinib+rituxi mab (n=386) | Total (n=771) |
|----------------|---------------------------|----------------|------------------------------|------------------|
| IGHV | Mutated (excl subset 2) | 146 (37.9%) | 148 (38.3%) | 294 (38.1%) |
| | Unmutated (excl subset 2) | 194 (50.4%) | 194 (50.3%) | 388 (50.3%) |
| | Subset 2 | 20 (5.2%) | 26 (6.7%) | 46 (5.9%) |
| | Not available | 25 (6.5%) | 18 (4.7%) | 43 (5.6%) |

| FISH Hierarchy | 17p deletion* | 1 (0.3%) | 2 (0.5%) | 3 (0.4%) |
|----------------|--------------------|-------------|-------------|-------------|
| | 11q deletion | 63 (16.4%) | 56 (14.5%) | 119 (15.4%) |
| | Trisomy 12 | 49 (12.7%) | 46 (11.9%) | 95 (12.3%) |
| | Normal | 112 (29.1%) | 117 (30.3%) | 229 (29.7%) |
| | 13q deletion | 131 (34%) | 139 (36%) | 270 (35%) |
| | Failed/incomple te | 29 (7.6%) | 26 (6.7%) | 55 (7.1%) |





Data-lock: 24th May 2021

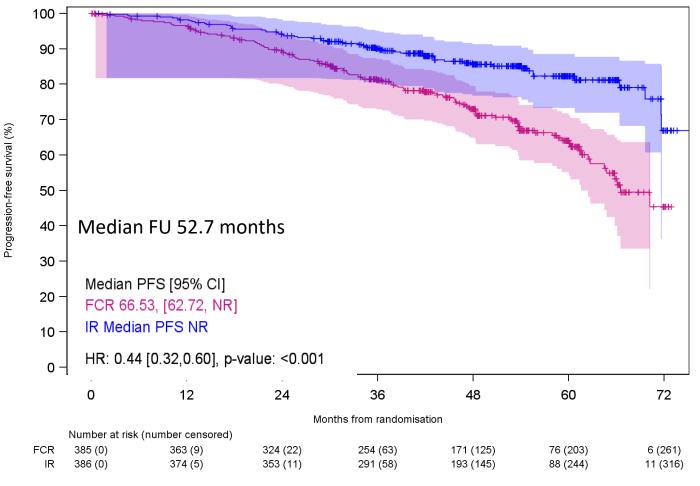








Data-lock: 24th May 2021



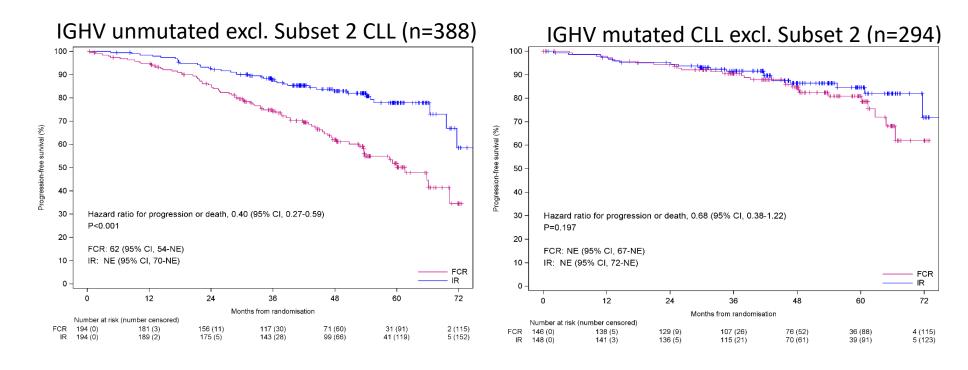








Flair PFS by IGHV mutation status



Stereotype Subset 2: n=46 (FCR 20; IR 26) \rightarrow HR for PD or death 0.32 (95% CI, 0.06-1.76), p=0.191



Data-lock: 24th May 2021







Flair Overall Survival

90 80 70 -Median FU 50.2 months Overall survival (%) 60 50 40 Median OS [95% CI] 30 FCR Median OS NR IR Median OS NR HR: 1.01 [0.61,1.68], p-value: 0.9560 12 48 60 72 24 36 Months from randomisation 351 (26) 290 (81) 202 (163) 94 (266) 12 (344) 377 (5) 365 (12) 210 (150) 305 (61) 95 (261) 13 (342)

Treatment after progression

| | FCR | IR |
|---------------------------|----------|---------|
| | (n=56) | (n=19) |
| Therapy for Richter's tra | ansforma | tion or |
| Hodgkin's | | |
| CHOP-R (5) or ABVD (1) | 4 | 2 |
| Therapy for relapsed CLL | | |
| BTKi | 38 | 0 |
| Idelalisib + R | 1 | 1 |
| Venetoclax + R | 8 | 5 |
| CIT (FCR/BR/ChIR) | 4 | 10 |
| Rituximab | 1 | 1 |
| Targeted therapy for CLL | 47/52 | 6/17 |
| | (90%) | (35%) |

Overall survival historical comparison

| Surviving at: | FCR FLAIR (2014-2018) | FCR ADMIRE/ARCTIC (2009-2012) |
|---------------|-----------------------------|-------------------------------------|
| 12 months | 98.4% | 97.5% |
| 24 months | 97.9% | 92.9% |
| 36 months | 96.4% | 86.8% |
| 48 months | 94.5% | 84.2% |







Causes of death in Flair

| Cause of death * | FCR (n=29) | IR (n=30) |
|-------------------------------|------------|-----------|
| CLL | 4 | 3 |
| Non-haematological malignancy | 4 | 7 |
| AML/MDS | 3 | 0 |
| ALL | 1 | 0 |
| Richters transformation | 3 | 1 |
| Infections (non-COVID) | 6 | 4 |
| COVID-19 | 3 | 3 |
| Haemorrhage | 1 | 2 |
| Cardiac | 2 | 9 |
| Other | 2 | 1 |
| | | |
| Total | 29 | 30 |

Deaths in FCR arm were predominantly secondary haematological malignancies, Richter's transformation and infections.

Deaths in IR arm were predominantly CV-related and non-haematological malignancies.









^{*,} Deaths at any time in follow-up

IR vs FCR: Causes of Death

| IR (==254) | FCR |
|---------------|--|
| (n=354) | (n=175 |
| 0 | 3 |
| 1 | 1 |
| 5 | 6 |
| 3 | 0 |
| 5 | 3 |
| 2 | 1 |
| 3 | 2 |
| 1 | 1 |
| 1 | 1 |
| 21 | 18 |
| | (n=354) 0 1 5 3 5 2 3 |

Other cancer: lung cancer (n=2, IR), brain cancer (n=2, IR), esophagus (IR), colon cancer (n=2, FCR), lung cancer (FCR). Other cause: suicide (IR), accident (IR), drug overdose (FCR). Other medical cause: acute respiratory failure (IR), pancreatitis (IR), stroke (IR), tumor lysis from venetoclax (FCR), GVHD (FCR).

IBR + VEN: Treatment Schema

| | C1 | C2 | C3 | C4>27 |
|------------|----------------|----------------|----------------|--|
| Ibrutinib | 420mg daily | 420mg daily | 420mg daily | 420mg daily |
| Venetoclax | | - | | 20mg daily 1 week; 50mg daily 1 week; 100mg daily 1 week; 200mg daily 1 week; 400mg daily continuous |

Duration of therapy: 24 cycles of combination treatment

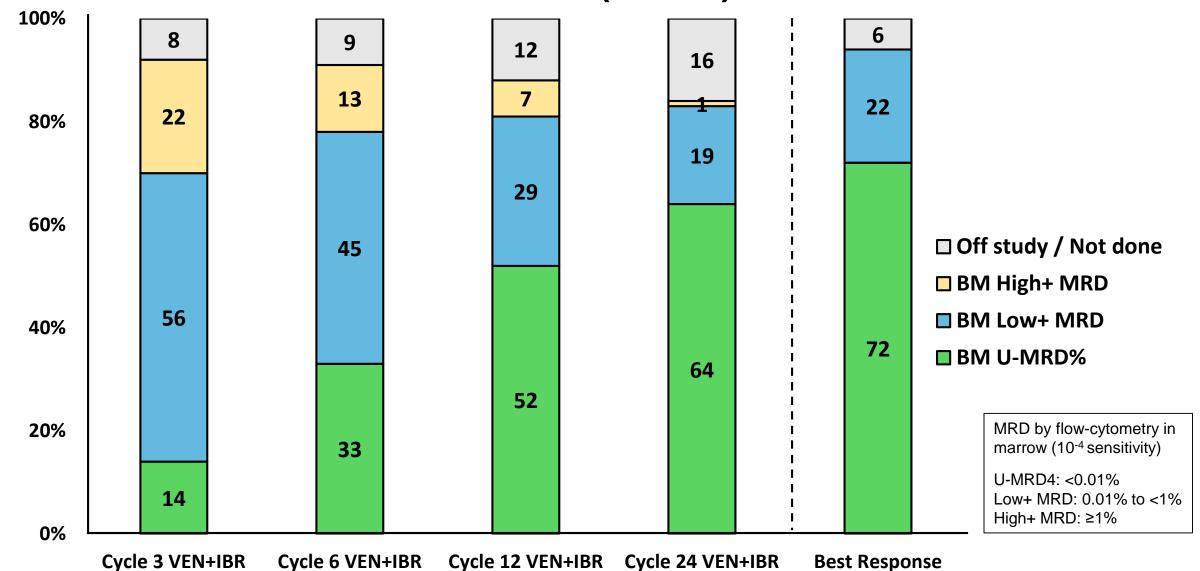
- Marrow U-MRD at 24 cycle: Both ibrutinib and venetoclax d/c
- Marrow MRD+ at 24 cycle: Both continue for another 1 year

Baseline Characteristics (N=120)

Between August 2016 and February 2019, a total of 120 pts were enrolled

| | | n (%) or median [range] |
|---------------------------|------------|-------------------------|
| Age, years | | 64.5 [26-88] |
| | ≥65 | 60 (50) |
| | ≥70 | 35 (29) |
| Gender, M | | 87 (73) |
| ALC, K/μL | | 76.3 [1.14-366] |
| PLT, K/µL | | 140 [28-334] |
| HGB, g/dL | | 12.0 [7.7-18.4] |
| B2M, mg/L | | 3.6 [1.7-13.7] |
| FISH | Del(17p) | 20 (17) |
| | Del(11q) | 31 (26) |
| | Trisomy 12 | 23 (19) |
| | Negative | 19 (16) |
| | Del(13q) | 27 (22) |
| IGHV status (n=116) | Unmutated | 100 (86) |
| Cytogenetics (n=115) | Complex | 15 (13) |
| Mutations (n=119) | TP53 | 19 (16) |
| | NOTCH1 | 35 (29) |
| | SF3B1 | 26 (22) |
| | BIRC3 | 9 (8) |
| Del(17p) / <i>TP53</i> -m | | 27 (23) |

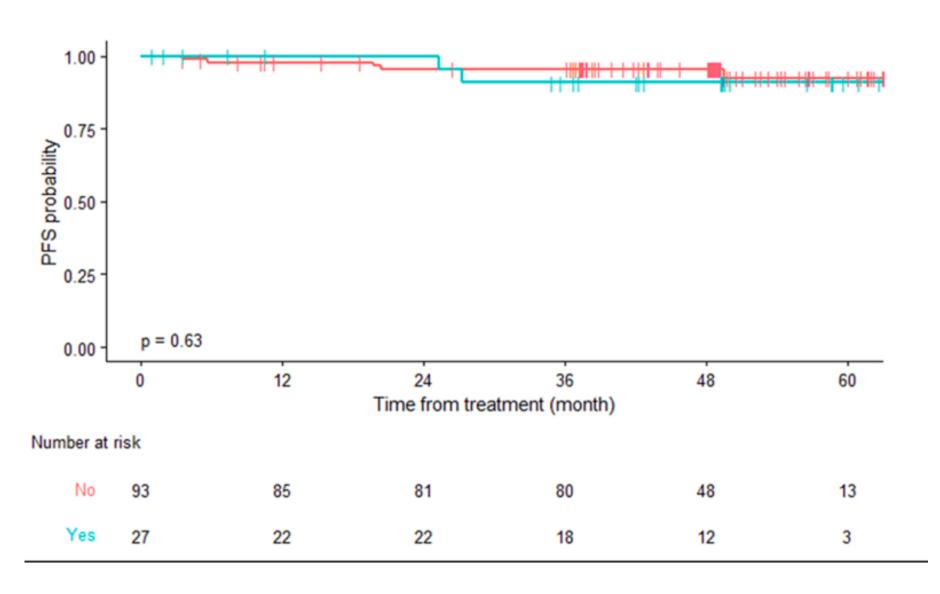
Marrow MRD Response at Serial Time-Points Intent-to-Treat (N=120)



IBR + VEN: PFS by TP53 Status (N = 120)

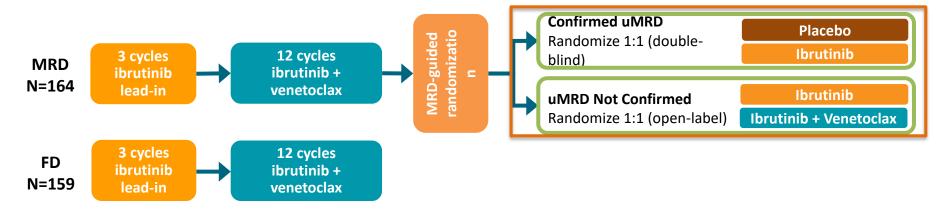
4 year PFS 94.5%;

90.9% TP53 aberrant vs 95.5%



Phase 2 CAPTIVATE Study

 CAPTIVATE (PCYC-1142) is an international, multicenter phase 2 study evaluating first-line treatment with 3 cycles of ibrutinib followed by 12 cycles of combined ibrutinib + venetoclax with 2 cohorts: MRD and FD

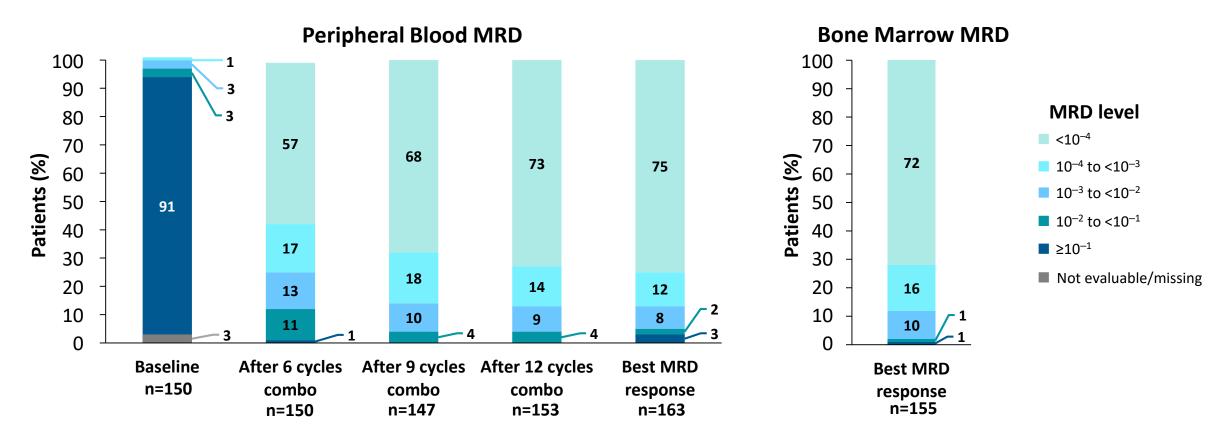


- Primary analyses of both cohorts have been previously reported^{1,2}
- Presented are updated results from the MRD cohort, with median time on study: 38.2 months (range, 15.0–47.9)
 - Median postrandomization follow-up: 24.0 months (range, 5.8–33.1)
- ² MRD, minimal residual disease; FD, fixed-duration.

ASH 2021, CAPTIVATE-MRD; Ghia et al.

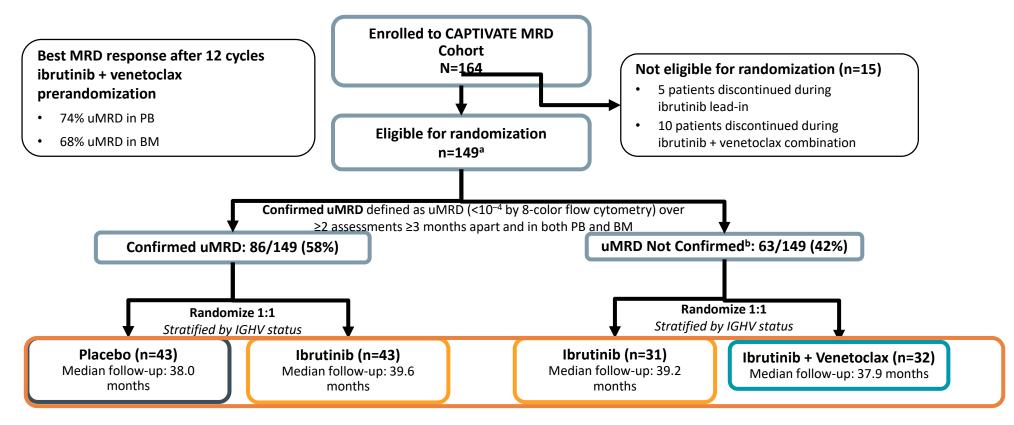
9 1. Wierda WG et al. ASH 2020. Abstract #123. 2. Ghia P et al. ASCO 2021. Abstract #7501.

CAPTIVATE: A PHASE 2 STUDY OF IBRUTINIB + VENETOCLAX IN 1L CLL



Proportion of patients with undetectable MRD in peripheral blood increased over the 12 cycles of combination therapy

MRD Cohort: Patient Disposition and Randomization (cont.)



BM, bone marrow; PB, peripheral blood.

^aIncludes 1 patient who discontinued venetoclax but completed planned treatment with ibrutinib. ^bDid not meet criteria for uMRD because of detectable MRD in PB and/or BM *or* undetectable MRD in PB that was not confirmed at consecutive assessments.

ASH 2021, CAPTIVATE-MRD; Ghia et al.

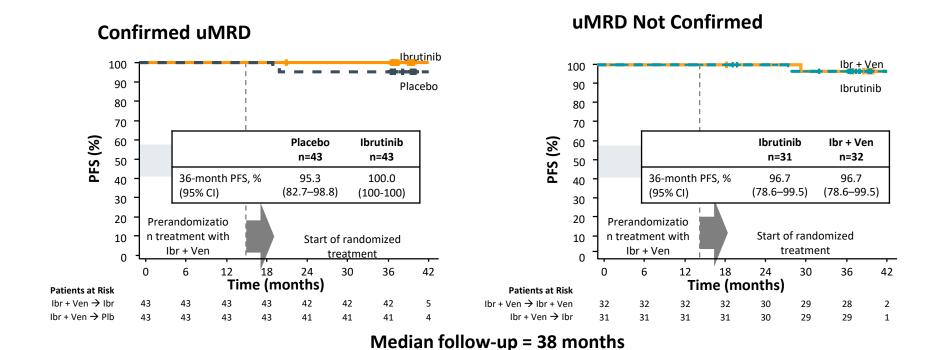
Most Patients Had High-Risk Disease Features

Placeholder for Video

| Characteristic | All Treated | Confirmed (| uMRD (n=86) | uMRD Not | t Confirmed (n=63) |
|---|-------------|-------------|-------------|------------|------------------------|
| | Population | Placebo | Ibrutinib | Ibrutinib | Ibrutinib + Venetoclax |
| | N=164 | n=43 | n=43 | n=31 | n=32 |
| Median age (range), year | 58 (28–69) | 61 (43–69) | 56 (34–69) | 58 (28–69) | 56 (37–69) |
| Rai stage III/IV disease, n (%) | 53 (32) | 15 (35) | 8 (19) | 14 (45) | 11 (34) |
| High-risk features, n (%) | | | | | |
| del(17p)/ <i>TP53</i> mutation | 32 (20) | 2 (5) | 13 (30) | 5 (16) | 8 (25) |
| del(11q) ^a | 28 (17) | 8 (19) | 10 (23) | 3 (10) | 2 (6) |
| Complex karyotype ^b | 31 (19) | 4 (9) | 13 (30) | 5 (16) | 4 (13) |
| Unmutated IGHV | 99 (60) | 30 (70) | 30 (70) | 14 (45) | 15 (47) |
| Any cytopenia, n (%) | 59 (36) | 19 (44) | 6 (14) | 13 (42) | 14 (44) |
| ANC ≤1.5 × 10 ⁹ /L | 14 (9) | 5 (12) | 0 | 2 (6) | 4 (13) |
| Hemoglobin ≤11 g/dL | 35 (21) | 14 (33) | 2 (5) | 9 (29) | 7 (22) |
| Platelets ≤100 × 10 ⁹ /L | 30 (18) | 4 (9) | 4 (9) | 9 (29) | 9 (28) |
| Lymph node diameter, n (%) | | | | | |
| ≥5 cm | 53 (32) | 18 (42) | 10 (23) | 7 (23) | 11 (34) |
| Median ALC × 10 ⁹ /L (range) | 56 (1–419) | 53 (1–235) | 56 (2–256) | 85 (1–342) | 87 (3–419) |
| ALC ≥25 × 10 ⁹ /L, n (%) | 125 (76) | 32 (74) | 34 (79) | 25 (81) | 24 (75) |

ALC, absolute lymphocyte count; ANC, absolute neutrophil count.

3-Year PFS Rates Were ≥95% Across All Randomized Arms



- With an additional year of follow-up since the primary analysis, only 1 new PFS event occurred on study; a patient in the uMRD Not Confirmed ibrutinib arm who had PD after 42 months
- 33 36-month OS was 99% overall (97%–100% across randomized treatment arms)

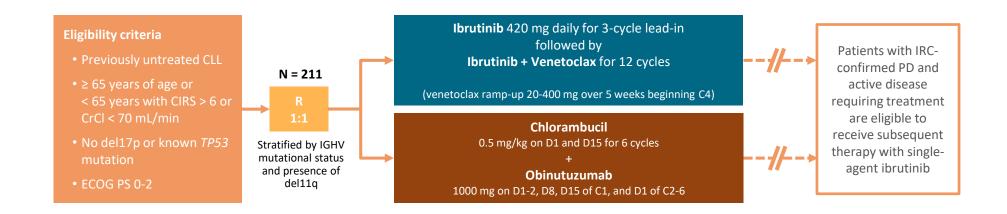
ASH 2021, CAPTIVATE-MRD; Ghia et al.

CAPTIVATE MRD Undetectable Cohort Randomized to Ibrutinib or Placebo: Efficacy Outcomes

| Efficacy outcomes, % (95% CI) | All treated PBO (N=43) | All treated lbr (N=43) | High-risk ^a PBO (N=6) | High-risk ^a Ibr (N=20) |
|-------------------------------------|------------------------------|---------------------------|--|---|
| DFS (3-y) | 85 (69–93) | 93 (80–98) | 100 (100–100) | 95 (70–99) |
| PFS (4-y) | 88 (74–95) | 95 (82–99) | 100 (100–100) | 95 (70–99) |
| OS (4-y) | 100 (100–100) | 98 (84–100) | 100 (100–100) | 100 (100–100) |

High risk defined as del(17p), TP53 mutation or complex karyotype

Phase 3 GLOW Study Design (NCT03462719)



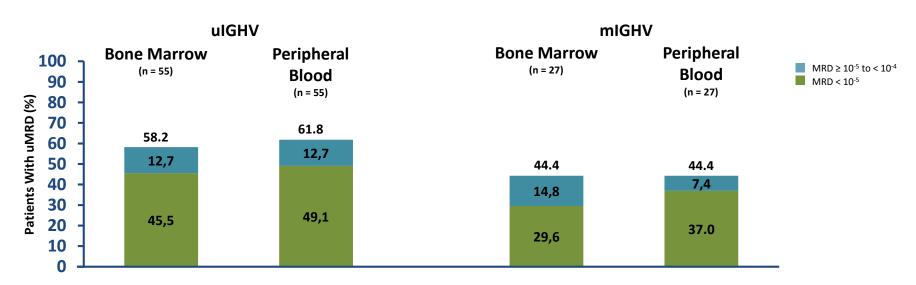
- Study primary endpoint: PFS as assessed by IRC
- Current MRD analysis:
 - MRD evaluated via NGS and reported with cutoffs of $< 10^{-4}$ and $< 10^{-5}$ (not all samples had sufficient cell yield to be analyzed at $< 10^{-6}$). NGS analysis not yet available beyond EOT+12 time point
 - PB/BM concordance calculated for patients with uMRD in PB at EOT+3 who had a paired BM sample
 - PFS results updated with 34.1 months of follow-up

35

BM, bone marrow; C, cycle (28 days); CIRS, Cumulative Illness Rating Scale score; CrCl, creatinine clearance; D, day; ECOG PS, Eastern Cooperative Oncology Group performance status; EOT, end of treatment; EOT+3,

Ibr+Ven: uMRD Rates Were High in BM and PB for Patients With uIGHV CLL

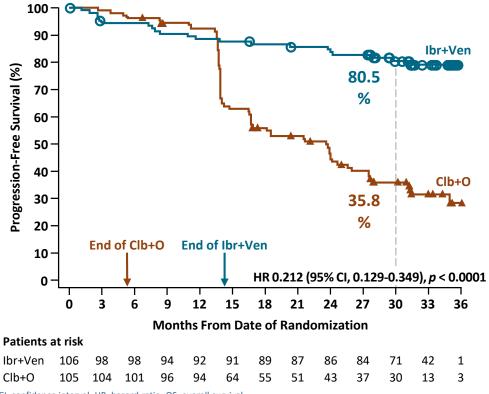
MRD at EOT+3 months



- With Ibr+Ven, depth of MRD response was similar in BM and PB for patients with uIGHV
 CLL
- Among patients with mutated TP53, 5 of 7 achieved uMRD < 10⁻⁵ in both BM and PB with lbr+Ven



Superior Progression-Free Survival With Ibr+Ven vs Clb+O Was Maintained With Median 34.1 Months of Follow-up



- IRC-assessed PFS for lbr+Ven was superior to Clb+O at primary analysis (median 27.7 months of followup)
 - HR 0.216 (95% CI, 0.131-0.357; p < 0.0001)

■ With median follow-up of 34.1 months:

- IRC-assessed PFS remained superior for Ibr+Ven (HR 0.212, 95% CI, 0.129-0.349; p < 0.0001)
- 30-month PFS: 80.5% for lbr+Ven vs 35.8% for Clb+O
- Overall survival HR 0.76 (95% CI, 0.35-1.64),
 with 11 deaths for lbr+Ven vs 16 for Clb+O

GLOW: PFS by IGHV Status

